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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT  
ACCORDING TO 37 C.F.R. §1.97(b)

APPLICANT(S): Yizhou SONG, et al. DOCKET NO: P05,0328  
SERIAL NO.: 10/550,506 ART UNIT: 1795  
FILED: September 23, 2005 EXAMINER: Michael Band  
CONF. NO.

TITLE: THIN FILM FORMING METHOD AND FORMING DEVICE  
THEREFOR

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. §1.56 and the requirements of 37 C.F.R. §1.98, Applicant respectfully requests that a citation and examination of the references identified on the attached PTO/SB/08 form be made during the course of examination of the above-identified application for United States Patent.

The present Information Disclosure Statement is being filed according to 37 C.F.R. §1.97(b) and before the latter occurrence of:

- (1) three months from the filing date of a national application;
- (2) three months from the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application; or
- (3) the mailing date of a first Office Action on the merits.

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## REMARKS

The attached PTO/SB/08 form lists related art references for the above identified application. In accordance with 37 C.F.R. §1.98(a)(2)(ii), no copies of the U.S. patent documents are being supplied herewith, but will be provided upon request.

## EXPLANATION OF RELEVANCE

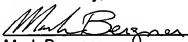
References AK and AP-AT were cited in the International Search Report.

References AO and AJ are English-language references corresponding to Japanese Patent reference AQ. References AE, AF, and AM are English-language references corresponding to Japanese Patent reference AP. References AA-AD and AG-AH are all English-language references corresponding to Japanese Patent reference AL.

The filing of the present Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed as an admission that the information cited in the present Information Disclosure Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

The above citation of related art is not a representation that such art constitutes a complete or exhaustive listing of all pertinent related art, nor that it necessarily includes the closest or most relevant art. The aforementioned citation comprises a voluntary citation of related art of which applicant and his attorney are presently aware and is not intended to serve as a substitute for the Examiner's own search.

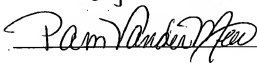
Submitted by,

 (Reg. No. 45,877)

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#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450 on January 10, 2006.





PTO/SB/08A (07-05)

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(Use as many sheets as necessary)

## Complete if Known

|                        |                    |
|------------------------|--------------------|
| Application Number     | 10/550,506         |
| Filing Date            | September 23, 2005 |
| First Named Inventor   | Song et al         |
| Art Unit               | 1795               |
| Examiner Name          | Michael Band       |
| Attorney Docket Number | P05,0328           |

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| U. S. PATENT DOCUMENTS |                        |  |                                |  |   |
|------------------------|------------------------|--|--------------------------------|--|---|
| Examiner Initials*     | Cite. No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                        |                        | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                        | AA                     | US- 4,851,095                            | 07/25/1989                     | Scobey et al                                       |   |
|                        | AB                     | US- 5,124,013                            | 06/23/1992                     | Seddon et al                                       |   |
|                        | AC                     | US- 5,225,057                            | 07/06/1993                     | LeFebvre et al                                     |   |
|                        | AD                     | US- 5,618,388                            | 04/08/1997                     | Seeser et al                                       |   |
|                        | AE                     | US- 5,672,252                            | 09/30/1997                     | Hayashi et al                                      |   |
|                        | AF                     | US- 5,674,366                            | 10/07/1997                     | Hayashi et al                                      |   |
|                        | AG                     | US- 5,798,027                            | 08/25/1998                     | Lefebvre et al                                     |   |
|                        | AH                     | US- 5,879,519                            | 03/09/1999                     | Seeser et al                                       |   |
|                        | AI                     | US- 6,207,536                            | 03/27/2001                     | Matsumoto et al                                    |   |
|                        | AJ                     | US-                                      |                                |  |   |
|                        |                        | US-                                      |                                |  |   |
|                        |                        | US-                                      |                                |  |   |
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| FOREIGN PATENT DOCUMENTS |                        |   |                                |  |   |
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| Examiner Initials*       | Cite. No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear |
|                          |                        | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  |   |
|                          | AK                     | EP 0 409 451  | 01/23/1991                     | Lefebvre et al                                     |   |
|                          | AL                     | JP 3-229870   | 10/11/1991                     | Lefebvre et al                                     |   |
|                          | AM                     | EP 0 600 303  | 06/08/1994                     | Hayashi et al                                      |   |
|                          | AO                     | EP 0 945 523  | 09/29/1999                     | Shigeharu et al                                    |   |
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| Examiner Signature | /Michael Band/ | Date Considered | 07/10/2008 |
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Sheet 2 of 2

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|----------|---|----------------|
|                    | AP       | Abstract of Japanese 06172990 of 6/21/1994  |                |
|                    | AQ       | Abstract of Japanese 11279757 of 10/12/1999   |                |
|                    | AR       | Song et al "Optical and structural properties of dense Si <sub>2</sub> , Ta <sub>2</sub> O <sub>5</sub> and Nb <sub>2</sub> O <sub>5</sub> thin-films deposited by indirectly reactive sputtering technique, VACUUM, Vol. 59, pp 755-763 (2000)                 |                |
|                    | AS       | Abstract of Japanese 2000119846 of 04/25/2000   |                |
|                    | AT       | Abstract of Japanese 2001011605 of 01/16/2001   |                |
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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